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Graduate Certificate in AI for Medical Device Regulation

# Post-Market Surveillance of AI Medical Devices

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Post-market surveillance (PMS) of AI medical devices is a critical process that ensures the safety and effectiveness of these devices once they are in use by patients and healthcare providers. In this explanation, we will cover key terms and vocabulary related to PMS of AI medical devices in the context of the Graduate Certificate in AI for Medical Device Regulation.

## 1. Post-market Surveillance (PMS)

PMS refers to the ongoing process of monitoring, evaluating, and improving the safety and performance of a medical device after it has been placed on the market. The primary objective of PMS is to identify and address any issues that may arise during the use of the device, and to ensure that it continues to meet the regulatory requirements for safety and effectiveness.

## 2. AI Medical Device

An AI medical device is a software or hardware system that uses artificial intelligence (AI) technologies, such as machine learning, natural language processing, or computer vision, to perform medical functions. These devices can be used for a variety of purposes, including diagnosis, treatment, monitoring, and prevention of diseases and medical conditions.

## 3. Real-world Data (RWD)

RWD refers to data that is collected from real-world sources, such as electronic health records (EHRs), claims databases, registries, and wearable devices. RWD can provide valuable insights into the safety and effectiveness of AI medical devices in real-world settings, and can be used to support PMS activities.

## 4. Real-world Evidence (RWE)

RWE refers to the evidence that is derived from the analysis of RWD. RWE can be used to support regulatory decision-making, including the evaluation of the safety and effectiveness of AI medical devices.

## 5. Vigilance

Vigilance refers to the active monitoring and reporting of adverse events related to medical devices. In the context of PMS, vigilance involves the identification, classification, investigation, and reporting of adverse events associated with AI medical devices.

## 6. Adverse Event

An adverse event is any untoward medical occurrence that occurs during the use of a medical device, and that may be related to the device. Adverse events can include injuries, deaths, and malfunctions.

## 7. Malfunction

A malfunction is a failure of a medical device to perform its intended function, or a departure from its

performance specifications. Malfunctions can lead to adverse events, and therefore, must be reported and addressed through PMS activities.

#### 8. Corrective Action

Corrective action refers to any action taken to address a identified issue with a medical device. Corrective actions can include software updates, design changes, user training, or recalls.

#### 9. Field Safety Corrective Action (FSCA)

An FSCA is a corrective action that is taken in response to a serious risk to health associated with a medical device. FSCAs must be reported to regulatory authorities, and must be implemented in a timely and effective manner.

#### 10. Risk Management

Risk management is the process of identifying, assessing, and controlling risks associated with medical devices. In the context of PMS, risk management involves the ongoing evaluation of the safety and effectiveness of AI medical devices, and the implementation of measures to mitigate any identified risks.

#### 11. Performance Evaluation

Performance evaluation refers to the ongoing assessment of the safety and effectiveness of a medical device. Performance evaluations can be based on data from clinical trials, post-market studies, or real-world use.

#### 12. Clinical Investigation

A clinical investigation is a study that is conducted to evaluate the safety and effectiveness of a medical device. Clinical investigations can be conducted before or after a device is placed on the market, and can provide valuable data to support PMS activities.

#### 13. Post-market Study

A post-market study is a study that is conducted after a medical device is placed on the market. Post-market studies can be used to evaluate the safety and effectiveness of the device in real-world settings, and can provide valuable data to support PMS activities.

#### 14. Benefit-Risk Assessment

A benefit-risk assessment is the process of evaluating the benefits and risks of a medical device. In the context of PMS, benefit-risk assessments involve the ongoing evaluation of the benefits and risks of AI medical devices, and the implementation of measures to ensure that the benefits outweigh the risks.

#### 15. Labeling

Labeling refers to the information that is provided with a medical device, including the instructions for use, warnings, and precautions. Labeling must be accurate, clear, and up-to-date, and must reflect the current state of knowledge about the device.

## 16. User Training

User training refers to the education and instruction provided to healthcare providers and patients on the safe and effective use of a medical device. User training is an important component of PMS, as it can help to reduce the risk of adverse events and improve the overall safety and effectiveness of the device.

## 17. Recall

A recall is a corrective action that is taken when a medical device is found to be defective, or when it poses a serious risk to health. Recalls can involve the removal of the device from the market, the repair or replacement of the device, or the notification of healthcare providers and patients about the risk associated with the device.

## 18. Regulatory Authority

A regulatory authority is a government agency that is responsible for regulating medical devices. Regulatory authorities can include the U.S. Food and Drug Administration (FDA), the European Medicines Agency (EMA), and the Medical and Healthcare Products Regulatory Agency (MHRA) in the UK.

## 19. International Medical Device Regulators Forum (IMDRF)

The IMDRF is a voluntary group of regulatory authorities from around the world that collaborate on medical device regulatory issues. The IMDRF develops guidance documents, tools, and other resources to support the regulation of medical devices, including AI medical devices.

## 20. Good Clinical Practice (GCP)

GCP is an international ethical and scientific quality standard for conducting clinical trials. GCP includes guidelines for the design, conduct, recording, and reporting of clinical trials, and is intended to ensure the safety and integrity of clinical data.

## 21. Quality Management System (QMS)

A QMS is a system for managing the quality of a medical device throughout its lifecycle. A QMS includes policies, procedures, and processes for ensuring that the device meets the required quality standards, and for continuously improving the safety and effectiveness of the device.

## 22. Cybersecurity

Cybersecurity refers to the protection of medical devices from unauthorized access, use, disclosure, disruption, modification, or destruction. Cybersecurity is an important component of PMS, as AI medical devices are often connected to networks and can be vulnerable to cyber attacks.

## 23. Algorithmic Bias

Algorithmic bias refers to the phenomenon where AI algorithms produce results that are systematically biased against certain groups of people. Algorithmic bias can occur due to biased training data, biased algorithms, or biased decision-making processes. Algorithmic bias can have serious consequences, including misdiagnosis, incorrect treatment, and discrimination.

#### 24. Explainability

Explainability refers to the ability of an AI algorithm to provide clear and understandable explanations for its decisions and recommendations. Explainability is an important component of PMS, as it can help healthcare providers and patients to understand how AI medical devices make decisions, and can increase trust and confidence in the devices.

#### 25. Transparency

Transparency refers to the availability and accessibility of information about AI medical devices. Transparency is an important component of PMS, as it can help healthcare providers and patients to make informed decisions about the use of the devices, and can increase trust and confidence in the devices.

In conclusion, PMS of AI medical devices is a complex and challenging process that requires a deep understanding of key terms and vocabulary. By understanding these terms and concepts, regulatory professionals, healthcare providers, and patients can work together to ensure the safe and effective use of AI medical devices, and to improve the overall quality and safety of healthcare.